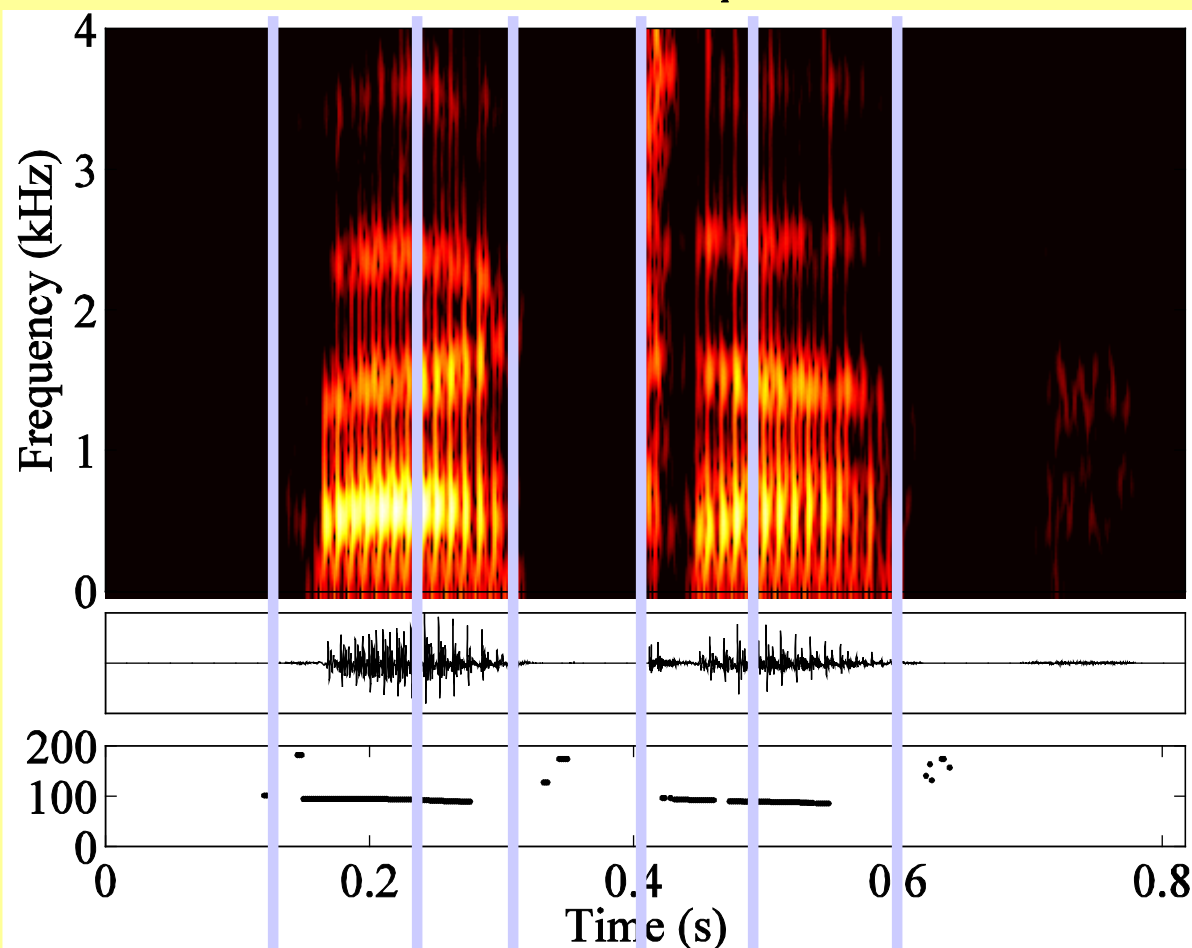


Landmark-Based Speech  
Recognition: Status Report,  
7/21/2004

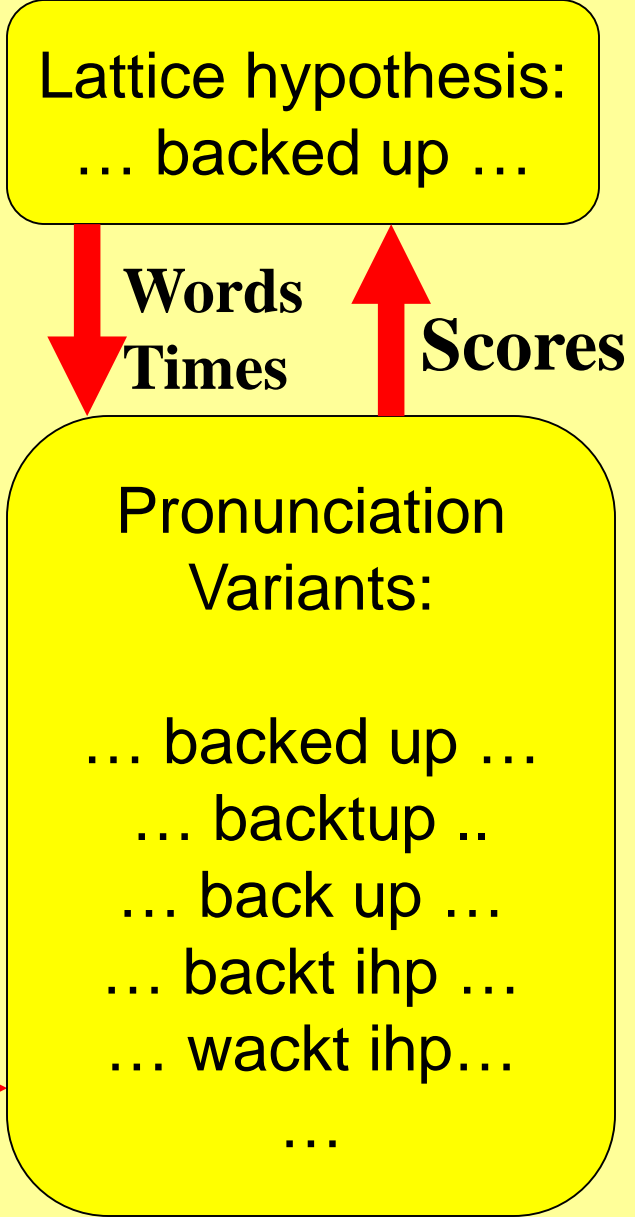
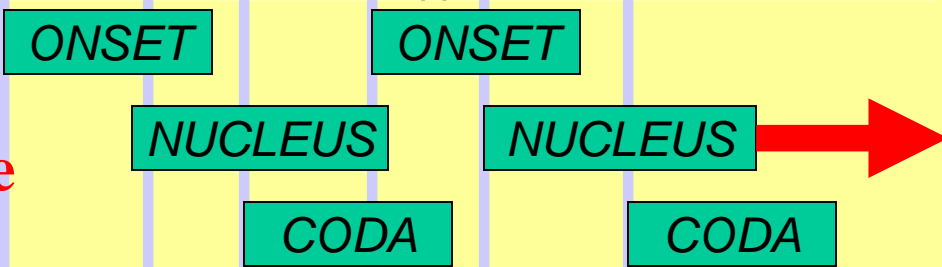
# *Status Report: Outline*

1. Review of the paradigm
2. Experiments that have been used in rescoring
  - SVM: training on Switchboard vs. NTIMIT
  - Acoustic features: MFCCs vs. rate-scale
  - Training the pronunciation model
  - Event-based smoothing with, w/o pronunciation model
  - Results for one talker in RT03-level
3. Ongoing experiments: Acoustic modeling
4. Ongoing experiments: Pronunciation modeling
5. Ongoing experiments: Rescoring methods

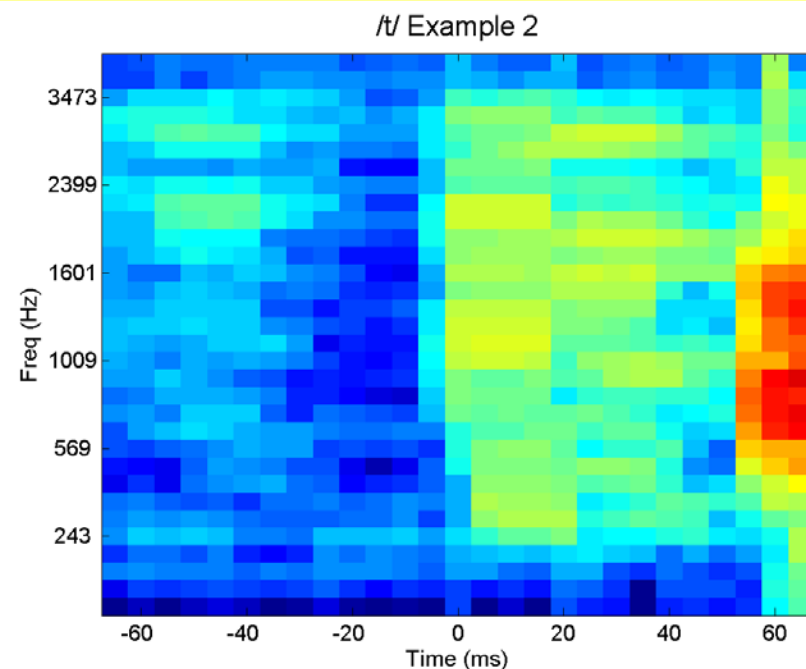
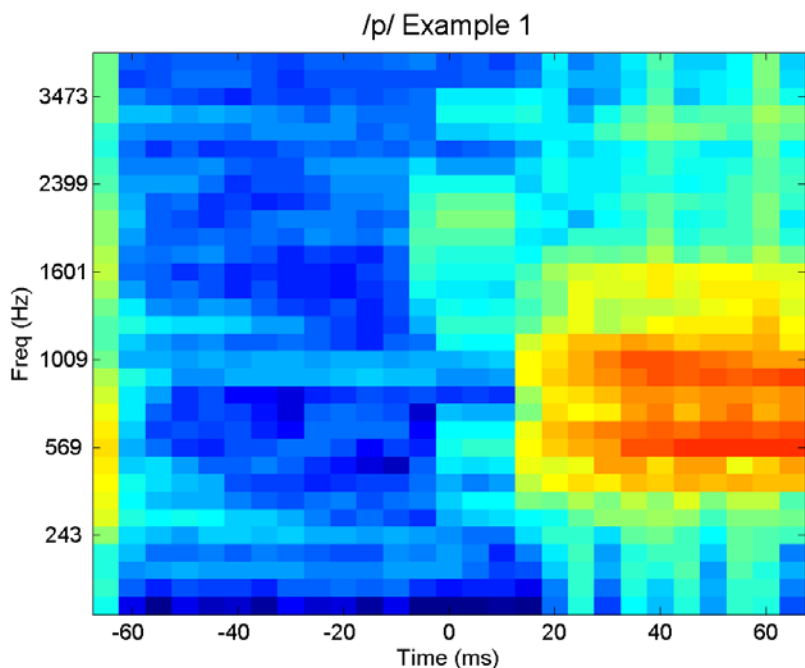
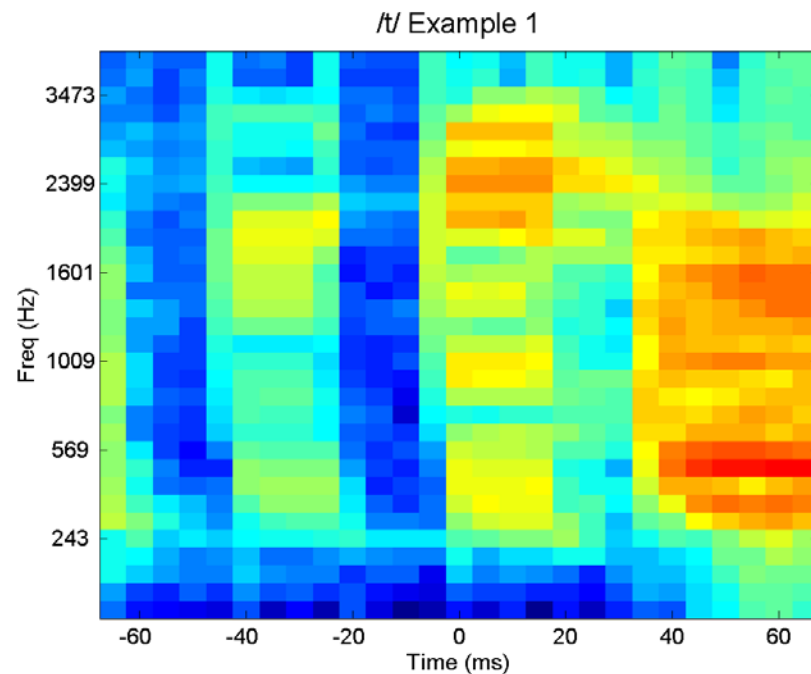
# 1. Landmark-Based Speech Recognition



**Syllable  
Structure**



# *Acoustic Feature Vector: A Spectrogram Snapshot (plus formants and auditory features)*



# Two types of SVMs: landmark detectors ( $p(\text{landmark}(t))$ ), landmark classifiers ( $p(\text{place-features}(t) | \text{landmark}(t))$ )

↓ 2000-dimensional acoustic feature vector

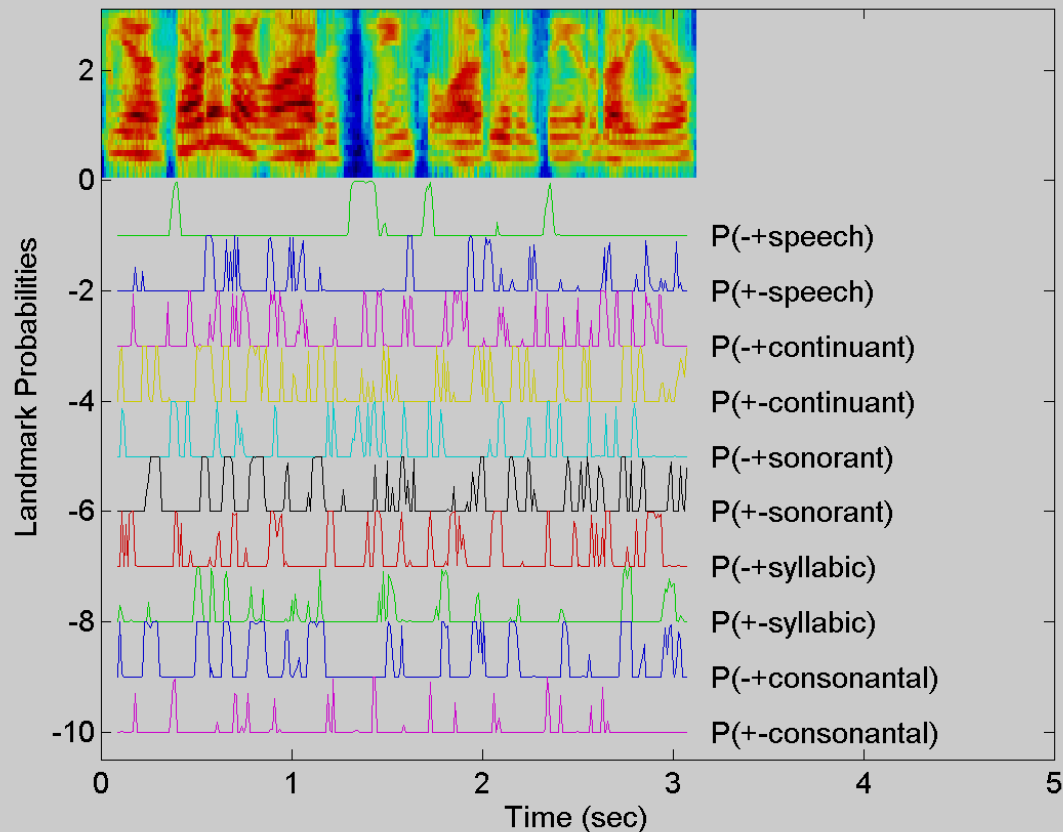
SVM

↓ Discriminant  $y_i(t)$

Sigmoid or Histogram

↓ Posterior probability of distinctive feature  $p(d_i(t)=1 | y_i(t))$

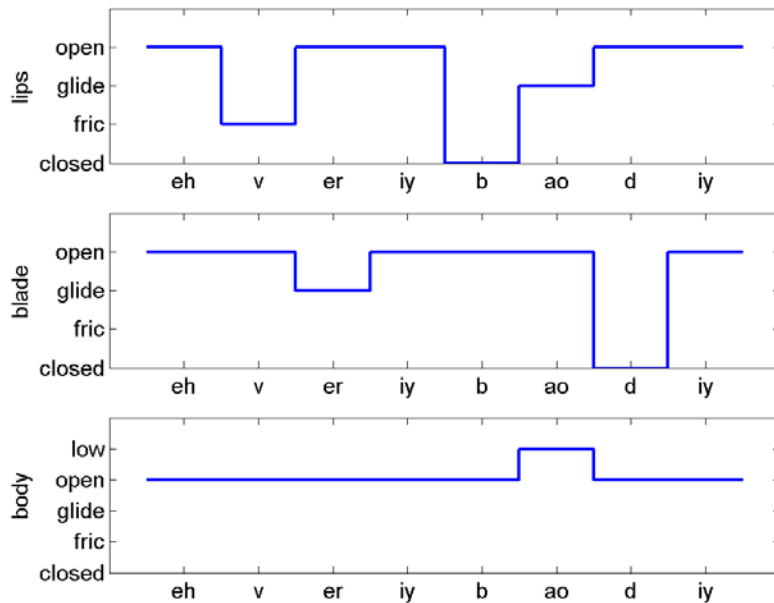
Landmark Probabilities, sw2830A-ws96-i-0127



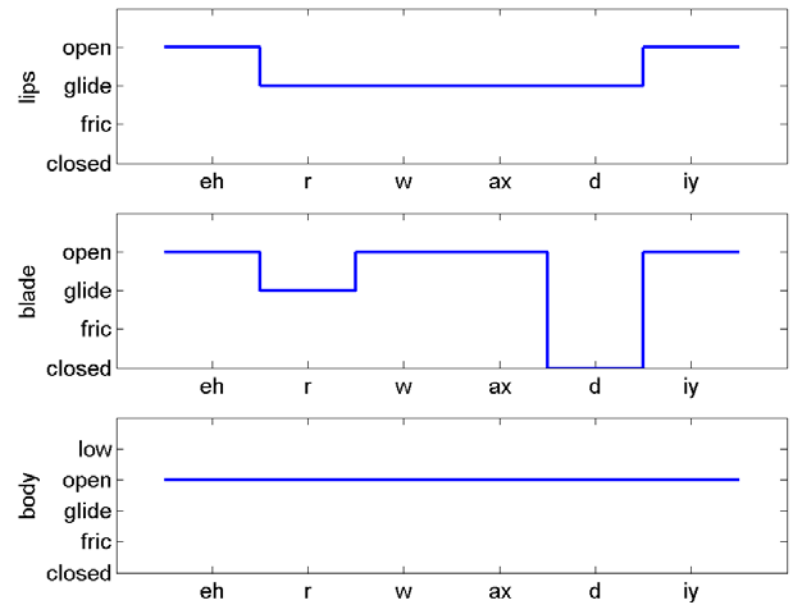


# *Pronunciation Model: Dynamic Bayesian Network, with Partially Asynchronous Articulators*

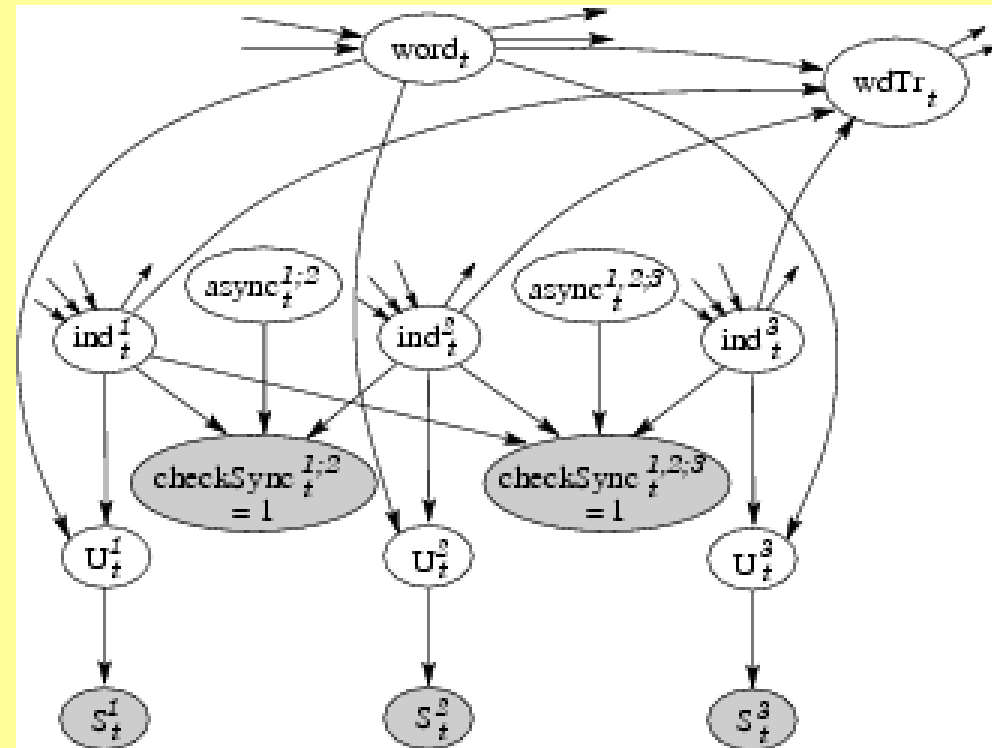
Canonical Pronunciation of "everybody"



Pronunciation Variant: "erwodi"



# Pronunciation Model: DBN, with Partially Asynchronous Articulators



- $\text{word}_t$ : word ID at frame # $t$
- $\text{wdTr}_t$ : word transition?
- $\text{ind}_t^i$ : which gesture, from the canonical word model, should articulator  $i$  be trying to implement?
- $\text{async}_t^{i,j}$ : how asynchronous are articulators  $i$  and  $j$ ?
- $U_t^i$ : canonical setting of articulator # $i$
- $S_t^i$ : surface setting of articulator # $i$



## *2. Experiments that have been used in rescoring*

- A. SVM training: Switchboard vs. NTIMIT
- B. Acoustic features: MFCC vs. rate-scale
- C. Training the pronunciation model
- D. Event-based smoothing with and without pronunciation model
- E. WER Reductions so far: summary

# *SVM Training: Switchboard vs. NTIMIT, Linear vs. RBF*

- NTIMIT:
  - Read speech = reasonably careful articulations
  - Telephone-band, with electronic line noise
  - Transcription: phonemic + a few allophones
- Switchboard:
  - Conversational speech = very sloppy articulations
  - Telephone-band, electronic and acoustic noise
  - Transcription: reduced to TIMIT-equivalent for this experiment, but richer transcription available

# ***SVM Training: Accuracy, per frame, in percent***

Train Test Kernel	NTIMIT		NTIMIT&SWB		NTIMIT		Switchboard	
	NTIMIT		NTIMIT&SWB		Switchboard		Switchboard	
	Linear	RBF	Linear	RBF	Linear	RBF	Linear	RBF
<b>speech</b> onset	95.1	96.2	86.9	<b>89.9</b>	71.4	62.2	81.6	81.6
<b>speech</b> offset	79.6	88.5	76.3	<b>86.4</b>	65.3	78.6	68.4	83.7
<b>consonant</b> onset	94.5	95.5	91.4	93.5	70.3	72.7	95.8	<b>97.7</b>
<b>consonant</b> offset	91.7	93.7	94.3	<b>96.8</b>	80.3	86.2	92.8	<b>96.8</b>
<b>continuant</b> onset	89.4	94.1	87.3	<b>95.0</b>	69.1	81.9	86.2	92.0
<b>continuant</b> offset	90.8	94.9	90.4	<b>94.6</b>	69.3	68.8	89.6	94.3
<b>sonorant</b> onset	95.6	97.2	<b>97.8</b>	96.7	85.2	86.5	96.3	96.3
<b>sonorant</b> offset	95.3	96.4	94.0	<b>97.4</b>	75.6	75.2	95.2	96.4
<b>syllabic</b> onset	90.7	95.2	91.4	<b>95.5</b>	69.5	78.9	87.9	92.6
<b>syllabic</b> offset	90.1	88.9	87.1	<b>92.9</b>	54.4	60.8	88.2	89.7

# Acoustic Feature Selection: MFCCs, Formants, Rate-Scale

## 1. Accuracy per Frame, Stop Releases only, NTIMIT

Kernel	MFCCs+Shape		MFCCs+Formants	
	Linear	RBF	Linear	RBF
+/- lips	78.3	90.7	92.7	<b>95.0</b>
+/- blade	73.4	<b>87.1</b>	79.6	85.1
+/- body	73.0	85.2	85.7	<b>87.2</b>

## 2. Word Error Rate: Lattice Rescoring, RT03-devel, One Talker

(WARNING: this talker is atypical.)

Baseline: 15.0% (113/755)

Rescoring, place based on:

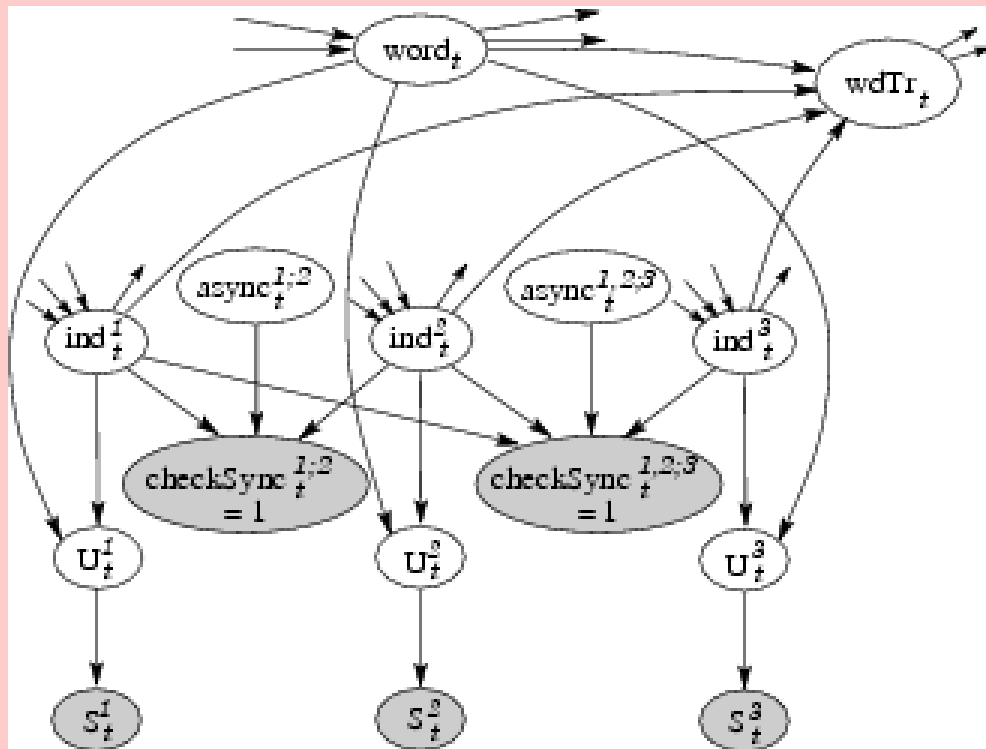
MFCCs + Formant-based params: 14.6% (110/755)

Rate-Scale + Formant-based params: 14.3% (108/755)

# ***Event-Based Smoothing of SVM outputs with and without pronunciation model***

1. No event-based smoothing
  - Manner-class recognition results: very bad (many insertions)
  - Lattice rescoring results: not computed
2. Event-based smoothing with no pronunciation model (no DBN)
  - Computational complexity: 30 seconds/lattice, 24 hours/RT03
3. Event-based smoothing followed by pronunciation model (DBN):
  - Computational complexity: 40 mins/lattice, 2000 hours/RT03

# Training the Pronunciation Model



- Trainable Parameters:

- $p(ind_t^i | ind_{t-1}^i)$
- $p(U_t^i | ind_t^i, word_t)$
- $p(async_t^{i,j} = d)$
- $p(S_t^i | U_t^i)$

- Experiment:

- Train  $p(async)$  using manual transcriptions of Switchboard data
- Test in rescoring pass, RT03, with SVM outputs

## *WER Results so far*

	WER – 1 talker	WER – 27 talkers	Improved Talkers	Unchanged Talkers
Baseline	15.0%	20.3%	-	-
Rescored	14.6	-	-	-
Rate-scale+ Formant-based	14.3	-	-	-
DBN Trained	13.9	20.4%	6/27	12/27

### ***3. Ongoing Experiments: Acoustic Modeling***

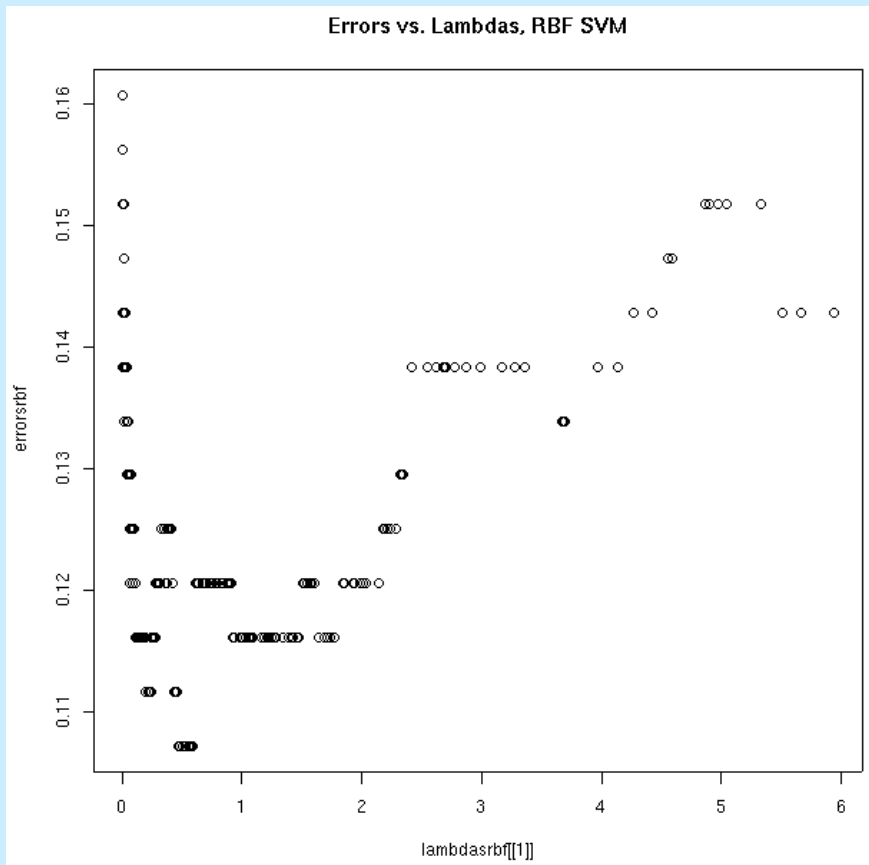
- A. Acoustic feature vector size
- B. Optimal regularization parameter for SVMs
- C. Function words
- D. Detection of phrasal stress



# *Acoustic Feature Vector Size: Accuracy/Frame, linear SVM, trained w/3000 tokens*

Observation Vector Dimension	539 mfcc+formants	2000 ...+shape+APs	10000 ...+rate-scale
<b>speech</b> onset	86.9	<b>93.0</b>	77.6
<b>speech</b> offset	76.3	<b>95.3</b>	79.4
<b>consonant</b> onset	<b>91.4</b>	89.7	86.3
<b>consonant</b> offset	<b>94.3</b>	81.1	78.8
<b>continuant</b> onset	<b>87.3</b>	84.7	73.9
<b>continuant</b> offset	90.4	<b>91.5</b>	82.3
<b>sonorant</b> onset	<b>97.8</b>	83.8	81.1
<b>sonorant</b> offset	<b>94.0</b>	92.4	87.2
<b>syllabic</b> onset	<b>91.4</b>	85.2	73.8
<b>syllabic</b> offset	87.1	<b>88.0</b>	76.8

# Optimal Regularization Parameter for the SVM



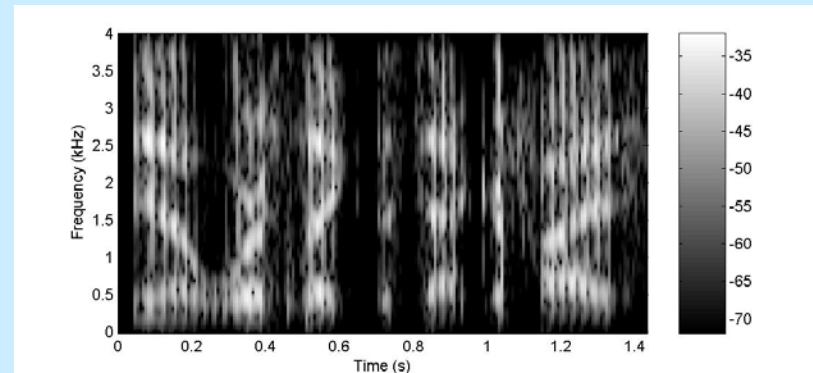
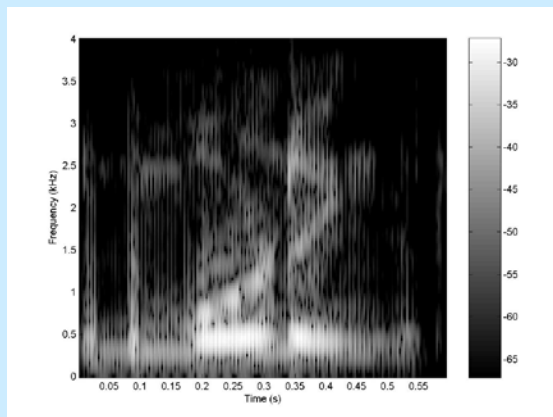
- SVM minimizes  $\text{Train\_Error} + \lambda * \text{Generality}$
- If you trust your training data, choose a small  $\lambda$
- Should you trust your training data? Answers:
  1. OLD METHOD: Exhaustive testing of all possible  $\lambda$ s
  2. NEW METHOD (Hastie et al.) simultaneously computes SVMs for all possible  $\lambda$ s

# *Analysis and Modeling of Function Words*

- Function words account for most substitution errors in the SRI lattices:
  - it→that,99 (1.78%); the→a,68 (1.22%); a→the,68 (1.03%)
  - and→in,64 (1.15%); that→the,40 (0.72%); the→that,35 (0.63%)
- Possible Solutions
  - Model multiwords in the DBN, e.g. “IN\_THE ih n dh ax” - DONE
  - Define SVM context to depend on function vs. content word – NOT YET
  - Better models of “partially deleted” phonemes, e.g. /dh/ (*that* ↔ *it*, *the* ↔ *a*), /n/ (*you know* → *yõw*)

# Better Models of “Partially Deleted” Phonemes

- Example: /dh/ is frequently a nasal (*in the*) or a stop (*at the*), but always implemented with a dental place of articulation (Manuel, 1994)
  - Conclusion: existence of “the” is cued by dental place of articulation of any consonant release
  - DBN could model manner change if given training data, but NTIMIT notation quantizes all /dh/ as either /dh/, /d/, or /n/
  - Possible solution: train [+dental] as a feature of all [+blade] consonants, regardless of manner – training tokens are all “fricative,” but test tokens may be nasal or stop. DBN recognizes that manner of /dh/ is variable...
- Example: /n/ is deleted in “you know” or “I know,” but leaves behind a nasalized vowel. Possible solution: recognize nasality of the vowel; DBN can attribute nasality of the vowel to a deleted nasal consonant.



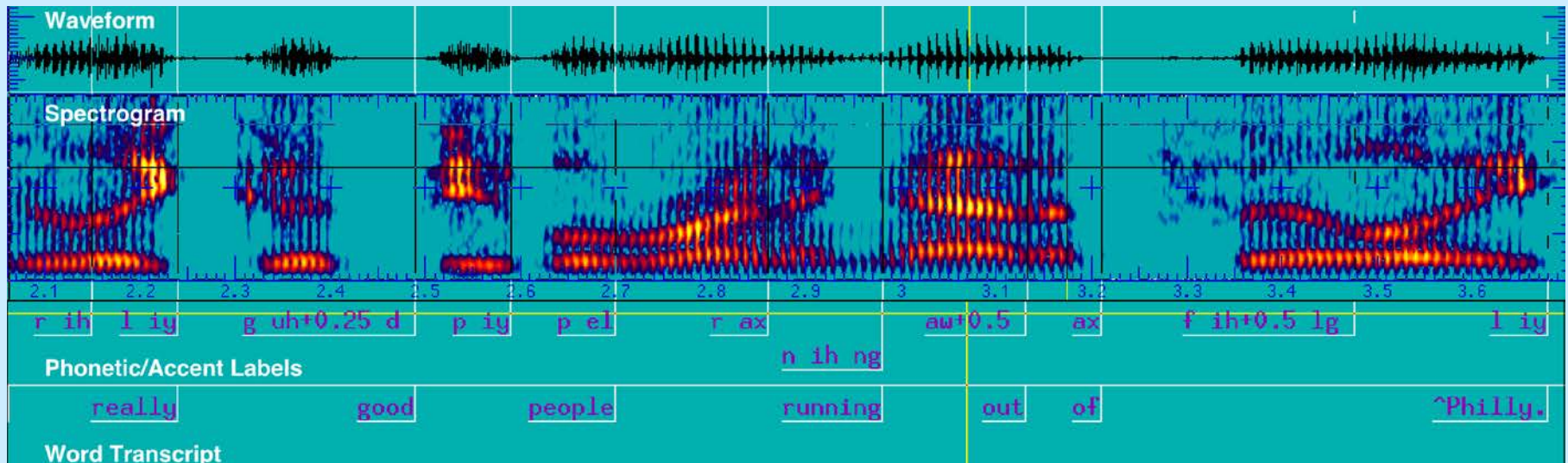
# Detection of Phrasal Stress

The probability of a deletion error is MUCH higher in unstressed syllables

SVM detectors for phrasal stress (based on ICSI transcribed data) are currently under development

Phrasal stress distinguishes words: some syllable nuclei are allowed to carry phrasal stress, some are not

Phrasal stress conditions other pronunciation probabilities: it can identify words subject to increased probability of phoneme deletion.



## ***4. Ongoing Experiments: Pronunciation Modeling***

- Complexity Issues:
  - Improved triangulation of the DBN
  - Which reductions should we model?
- Discriminative Pronunciation Modeling:
  - A distinctive feature lexicon, with features added discriminatively to improve system performance
  - Discriminative optimization of pronunciation string probabilities using maximum entropy, conditional random fields
  - Discriminative models of landmark insertion, substitution, and deletion: a factored N-gram language model

# *Improved Triangulation of the DBN*

- The DBN Inference Algorithm:  $p(\text{word}_t \mid \text{observations})$  is computed using the following algorithm:
  1. Triangulate so that cliques can be eliminated one at a time
  2. Marginalize over the cliques, one at a time, starting with the cliques farthest from  $\text{word}_t$ , until the only remaining variable is  $\text{word}_t$
- Complexity of inference  $\propto |S|^{\text{NumVarPerClique}}$
- Different triangulations result in different NumVarPerClique
- Finding the perfect triangulation is NP-hard
- Finding an OK triangulation:
  1. Start with initial guess about where the borders are between groups of variables
  2. Specify the flexibility of each border
  3. Search within specified limits
- Status: job is running (currently on day 7)

# *Which Reductions Should we Model?*

- Virtually anything can reduce in natural speech due to stylistic, lexical, and phonological factors (Raymond et al. 2003). The problem: Every degree of freedom in  $p(S_t^i | U_t^i)$  increases complexity of the DBN. Which of the possible reductions are most important?
- Common environments for reduction: (Greenberg et al. 2002; 2003)
  - Unstressed syllables
  - Syllable codas
- Segment types more prone to reduction:
  - Coronals: /t/, /d/, /n/, /s/
- Types of reductions commonly observed:
  - Absolute reduction = deletion
  - Other reductions: flapping, frication, etc.
- Based on these observations, we should model reduction and deletion of coda coronals (and related effects on preceding vowel formants), especially in unstressed syllables



# *Discriminative Pronunciation Modeling*

We only need to distinguish between small sets of confusable words during rescoring, so ... find a model that emphasizes landmark features relevant for distinguishing between words, train discriminatively.

## 1. Lexical representation:

⇒ Select distinctive features that maximally discriminate confusable words

## 2. Computing $p(\text{pronunciation} \mid \text{word})$ discriminatively:

⇒ (a) convert each word to a fixed-length landmark-based representation and use discriminative classifier (maxent)

⇒ (b) use a discriminative sequence model (conditional random field)

⇒ (c) represent the landmarks as “words” in a language model; apply discriminative language modeling techniques

# *Discriminative Selection of Distinctive Features*

- A distinctive feature lexicon already exists, based on the Juneja-Espy feature set.
- Goal: add partially redundant binary features to each phoneme, in order to increase the likelihood of accurate lexical matches.
  - Discriminative selection using MAXENT (next slide)
  - Selection based on Switchboard error analysis, e.g. length, energy contour,

## **“Today”**

Syllable	Manner	Place	Height	Glide	Voicing	Length	Energy	Accent	Segment
ON	ST	Central	*	–	–	#	#	–	t
NU	VO	Central	Hi	–	+	#	#	–	ax
ON	ST	Central	*	–	+	#	#	+	d
NU	VO	Front	Mid	+	+	#	#	+	eh
NU	VO	Front	Hi	+	+	#	#	+	ih

## **“Ready”**

Syllable	Manner	Place	Height	Glide	Voicing	Length	Energy	Accent	Segment
ON	RH	back	*	+	+	#	#	+	r
NU	VO	front	Hi	–	+	#	#	+	ax
JU	FLAP	*	*	–	*	#	#	*	dx
NU	VO	front	Hi	+	+	#	#	–	ih
NU	VO	front	Hi	+	+	#	#	–	iy

# *Discriminative Optimization of Pronunciation Probabilities Using Maximum Entropy*

- Convert word lattices to confusion networks (SRI-style)
- For each confusion set, train maxent model on landmark representation:

$$p(y | x) = \frac{1}{Z(x)} \exp\left(\sum_{i=1}^k \lambda_i f_i(x, y)\right)$$

- $y$ : word,  $x$ : landmark sequence,  $f(y,x)$ : function indicating presence/absence/frequency of basic temporal relation (precedence, overlap) between two landmarks
- Apply model to landmark detector output
- Interpolate resulting probabilities with posterior word probabilities from confusion network and rescore

# *Discriminative Optimization of Pronunciation Probabilities Using Conditional Random Fields*

- Use graph structure similar to that in DBN, with one primary landmark stream defining state sequence
- Other landmarks are treated as feature functions
- Train using CRFs:

$$p(y | x) = \frac{1}{Z(x)} \exp\left(\sum_t \sum_k \lambda_k f_k(y_{(k,t)}, x, t)\right)$$

- $y$ : word state sequence,  $x$ : landmark sequence,  $t$ : length,  $k$ : feature dimensionality
- add scores to lattices or n-best lists and rescore

# Landmark N-gram Pronunciation Model

**WORD** completely 20050 20710

**MANNER** +-continuant +-continuant:+voice +syllabic +-sonorant:+voice +-sonorant:-voice +syllabic +-sonorant:-voice +-sonorant:-voice +-continuant +-continuant +syllabic +-sonorant +-sonorant:-voice +syllabic +-continuant +-sonorant:+voice +syllabic +-continuant +syllabic +-continuant +-continuant +-continuant +-sonorant:-voice +syllabic +syllabic

**PLACE** +lips +lips +front:-high -strident:+anterior +strident:+anterior -front:+high +strident:+anterior +strident:-anterior -strident:+anterior +lips +body -front:-high +strident:+anterior -front:+high -nasal:+blade -strident:+anterior +front:+high -nasal:+blade -front:+high +lips +lips -nasal:+blade +strident:+anterior +front:-high +front:+high

- *Main idea: Model sequences of landmarks for words and phones*
- *Approach: Train word and phone landmark N-gram LMs to generate a smoothed backoff LM*
  - *For common words, train word landmark LMs*
  - *For context dependent phones, train CDP landmark LMs*
  - *For all monophones, train phone landmark LM's*
  - *Score each word in a smoothed manner with word, CDP, and phone LMs*

## ***5. Ongoing Experiments: Rescoring Methods***

1. Recognizer-generated N-best sentences vs. Lattice-generated N-best sentences
2. Maximum-entropy estimation of stream weights

## *Lattices and N-best Lists*

- Basic Rescoring Method:

$$\text{word\_score} = a * \text{AM} + b * \text{LM} + c * \#\text{words} + d * \text{secondpass}$$

- Estimation of stream weights is correctly normalized for N-best lists, not lattices
- Two methods for generating N-best:
  - Run recognizer in N-best mode
  - Generate from lattices

	N-best from Recognizer	N-best from Lattices
WER based on 1 <sup>st</sup> -pass recognizer scores	24.4%	24.1%

# Maximum Entropy Estimation of Stream Weights

- Conditional exponential model of score combination estimated by Maximum Entropy<sup>1</sup>
- Set of feature functions:

$$f_1(obs, hyp) = \log p_{AM}(obs | hyp)$$

$$f_2(obs, hyp) = \log p_{LM}(hyp)$$

$$f_3(obs, hyp) = [\# words(hyp)]$$

$$f_4(obs, hyp) = \log p_{LANDMARK-PRONUNCIATION-MODEL}(obs | hyp)$$

$$\log P(hyp | obs) = \left( \sum_i \lambda_i f_i(obs, hyp) \right) - \log Z(obs)$$

<sup>1</sup>Yu, Waibel ICASSP 2004



# Maximum Entropy Estimation of Stream Weights

- Computation of the partition function (normalization factor)

$$Z(obs) = \sum_{hyp(N-best)} \exp\left(\sum_i \lambda_i f_i(obs, hyp)\right)$$

- Tool: MaxEnt program by Zhang Le
  - Optimization by L-BFGS algorithm for continuous variables
- Currently, experimenting with various normalizations of the scores
  - Positive, normalized features, appropriate definition of labels and proper approximation of the partition function necessary
  - Experiments continuing

# *Conclusions (so far)*

- WER reduced for the lattices of one talker
- Computational complexity inhibits full-corpus rescoring experiments
- Ideas that may help reduce WER:
  1. Discriminative pronunciation modeling
  2. Discriminative combination of pronunciation models
  3. Fine phonetic distinction
    - The right acoustic features for the right job
    - Detect distinctive features that have been “cut free” from a deleted segment, e.g., [+dental] of /dh/ in “in the,” or [+nasal] of /n/ in “you know.” Pronunciation model should use these “cut free” distinctive features to cue existence of a deleted phone
  4. Teach people to enunciate more clearly